

# EXHIBIT A

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008<sup>1</sup>**

**NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM**

October 19, 2009

Robert T. Schoen MD and Cynthia B. French J/T WROS  
[REDACTED]  
[REDACTED]

Dear Robert T. Schoen MD and Cynthia B. French J/T WROS:

**PLEASE READ THIS NOTICE CAREFULLY.**

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim on BLMIS Account No. 1CM305 designated as Claim Number 010156:

Your claim for securities is **DENIED**. No securities were ever purchased for your account.

Further, based on the Trustee's analysis, the amount of money you withdrew from your account at BLMIS (total of \$175,000.00), as more fully set forth in Table 1 annexed hereto and made a part hereof, is greater than the amount that was deposited with BLMIS for the purchase of securities (total of \$130,000.00). As noted, no securities were ever purchased by BLMIS for your account. Any and all profits reported to you by BLMIS on account statements were fictitious.

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<sup>1</sup> Section 78III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78III(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

Since there were no profits to use either to purchase securities or to pay you any money beyond the amount that was deposited into your BLMIS account, the amount of money you received in excess of the deposits in your account (\$45,000.00) was taken from other customers and given to you. Accordingly, because you have withdrawn more than was deposited into your account, you do not have a positive "net equity" in your account and you are not entitled to an allowed claim in the BLMIS liquidation proceeding. Therefore, your claim is **DENIED** in its entirety.

Should a final and unappealable court order determine that the Trustee is incorrect in his interpretation of "net equity" and its corresponding application to the determination of customer claims, the Trustee will be bound by that order and will apply it retroactively to all previously determined customer claims in accordance with the Court's order. Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by you in having your customer claim re-determined in accordance with any such Court order.

Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by the Trustee against you.

**PLEASE TAKE NOTICE:** If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court **and** the Trustee within **THIRTY DAYS** after October 19, 2009, the date on which the Trustee mailed this notice.

**PLEASE TAKE FURTHER NOTICE:** If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

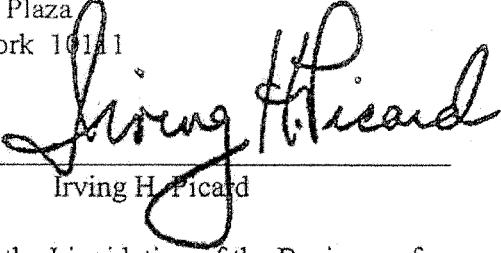
**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for  
the Southern District of New York  
One Bowling Green  
New York, New York 10004

and

Irving H. Picard, Trustee  
c/o Baker & Hostetler LLP  
45 Rockefeller Plaza  
New York, New York 10111

  
Irving H. Picard

Trustee for the Liquidation of the Business of  
Bernard L. Madoff Investment Securities LLC

cc: Arthur H. Ruegger, Esq.  
Sonnenschein Nath & Rosenthal LLP  
1221 Avenue of the Americas, 25<sup>th</sup> Floor  
New York, New York 10020

DEPOSITS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
1/3/1995	CHECK	\$130,000.00
<b>Total Deposits:</b>		\$130,000.00
WITHDRAWALS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
1/29/2004	CHECK	(\$45,000.00)
8/2/2004	CHECK	(\$30,000.00)
11/16/2007	CHECK	(\$50,000.00)
6/25/2008	CHECK	(\$50,000.00)
<b>Total Withdrawals:</b>		(\$175,000.00)
<b>Total deposits less withdrawals:</b>		(\$45,000.00)

# EXHIBIT B

**COPY**

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**RECEIVED**

JUN 18 2009

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: (212) 768-6881

HOME: \_\_\_\_\_

Taxpayer I.D. Number (Social Security No.)

Account Number: 1-CM305-3-0 & 1-CM305-4-0

Robert T. Schoen MD and  
Cynthia B. French J/T WROS  
[REDACTED]  
[REDACTED]

(If incorrect, please change)

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008** :  
a. The Broker owes me a Credit (Cr.) Balance of \$ \_\_\_\_\_  
b. I owe the Broker a Debit (Dr.) Balance of \$ \_\_\_\_\_

c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ \_\_\_\_\_

d. If balance is zero, insert "None." \_\_\_\_\_ **NONE**

2. Claim for securities as of December 11, 2008:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

<u>YES</u>	<u>NO</u>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
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SEE STATEMENT DATED NOVEMBER 30, 2008

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	✓

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? If so, give name of that broker. ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Arthur H. Ruegger, Sonnenschein Nath & Rosenthal LLP, 1221 Avenue of the Americas, 25<sup>th</sup> Floor, New York, NY 10020.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. \***

Date 6/13/09 Signature RH Sch  
Date 6/13/09 Signature BBreit

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

**\* This form includes and incorporates in full the attached Addendum. Customer reserves the right to amend the claim for any purpose, including without limitation, to add interest, costs and other losses associated with this account.**

**ADDENDUM**

**Customer:** **Robert T. Schoen MD and  
Cynthia B. French J/T WROS**

**Address:** [REDACTED]  
[REDACTED]

**Account #:** **1-CM305-3-0  
1-CM305-4-0**

November 30, 2008 Statement – Closing balance net equity \$529,202.00

Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

PERIOD ENDING	11/30/08
YOUR TAX PAYOR/ COUNTRY/ CATION NUMBER	[REDACTED]
YOUR ACCOUNT NUMBER	1-CM305-3-0

885 Third Avenue  
 New York, NY 10022  
 (212) 230-2424  
 800) 334-1343  
 Fax (212) 838-4061

**BERNARD L. MADOFF**  
 INVESTMENT SECURITIES LLC  
 New York □ London

ROBERT T SCHOEN MD  
 AND CYNTHIA B FRENCH J/T WROS

DATE	BOUGHT RECEIVED ON LONG	SELL DELIVERED OR SHORT	TRN	BALANCE FORWARD	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEPOSITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	286			2352	WAL-MART STORES INC	55•830	15,978•38	
11/12	187			2854	INTERNATIONAL BUSINESS MACHS	87•270	16,326•49	
11/12	693			6678	EXXON MOBIL CORP	72•880	50,532•84	
11/12	759			7180	INTEL CORP	14•510	11,043•09	
11/12	363			11506	JOHNSON & JOHNSON	59•580	21,641•54	
11/12	495			15832	J.P. MORGAN CHASE & CO	38•530	19,091•35	
11/12	254			20157	COCA COLA CO	44•660	11,800•24	
11/12	154			24483	MCDONALDS CORP	55•370	8,532•98	
11/12	286			28809	MERCK & CO	28•550	8,176•30	
11/12	1,045			33135	MICROSOFT CORP	21•810	22,832•45	
11/12	528			37461	ORACLE CORPORATION	17•300	9,155•40	
11/12	209			50439	PEPSICO INC	56•410	11,797•69	
11/12	121			50941	APPLE INC	100•780	12,198•38	
11/12	891			54765	PFIZER INC	16•940	15,128•54	
11/12	209			55267	ABBOTT LABORATORIES	54•610	11,421•49	
11/12	396			59091	PROCTER & GAMBLE CO	64•080	25,390•68	
11/12	143			59533	AMGEN INC	59•160	8,464•88	
11/12	275			63417	PHILLIP MORRIS INTERNATIONAL	43•600	12,001•00	
11/12	660			63919	BANK OF AMERICA	21•590	14,275•40	
11/12	220			67743	QUALCOMM INC	33•770	J•437•40	
11/12	715			68245	CITI GROUP INC	12•510	8,972•65	
11/12	165			72069	SCHLUMBERGER LTD	49•480	8,170•20	
11/12	396			72571	COMCAST CORP	16•510	6,552•96	
					CL A			
					CONTINUED ON PAGE		2	

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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STATEMENT FOR INCOME TAX PURPOSES

Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel (020) 7493 6822

885 Third Avenue

New York, NY 10022  
 (212) 230-2424  
 800 334-3343

Fax (212) 838-4061

BERNARD L. MADOFF  
 INVESTMENT SECURITIES LLC  
 New York □ London

ROBERT T. SCHDEN MD  
 AND CYNTHIA B. FRENCH J/T WROS

PAGE 2  
 11/30/08  
 1-CM305-3-0  
 YOUR ACCOUNT NUMBER  
 YOUR TAX PAYER IDENTIFICATION NUMBER

BOUGHT RECEIVED OR LOANED	SELL/REFUND/SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT
7/81		76395	AT&T INC	27	21,118.00
1/98		76897	CONOCOPHILLIPS	52.510	10,403.98
1/12		80721	UNITED PARCEL SVC INC	52.040	6,874.28
6/03		81223	CLASS B	16,730	13,466.19
1/12		85067	CISCO SYSTEMS INC	29,530	6,830.43
2/12		85549	U S BANCORP	73,430	20,204.25
1/12		89373	CHEVRON CORP	53,160	7,022.12
1/12		89875	UNITED TECHNOLOGIES CORP	19,630	27,478.11
1/12		93699	GENERAL ELECTRIC CO	30,410	11,387.34
1/12		94201	VERIZON COMMUNICATIONS	337,400	11,135.20
1/12		98025	GOOGLE	13,785.60	
1/12		98527	WELLS FARGO & CO NEW	29,800	
1/12		20638	HEWLETT PACKARD CO	34,900	
1/12			U S TREASURY BILL	99,936	11,530.00
			DUE 2/12/2009		449,712.00
			2/12/2009		83.97
			DIV		69,644.00
11/12		15736	FIDELITY SPARTAN		
11/12			U S TREASURY MONEY MARKET		
11/12			DIV 11/12/08		
11/12		25105	FIDELITY SPARTAN	1	
11/12			U S TREASURY MONEY MARKET	1	
11/12			DIV	19,060.00	
11/19					2,34
			CONTINUED ON PAGE	3	

RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

885 Third Avenue  
 New York, NY 10022  
 (212) 230-2424  
 800 334-1343  
 Fax (212) 838-4061

BERNARD L. MADOFF  
 INVESTMENT SECURITIES LLC  
 New York □ London

ROBERT T. SCHOEN, MD  
 AND CYNTHIA B. FRENCH, J/T WROS

885 Third Avenue  
 New York, NY 10022  
 (212) 230-2424  
 800 334-1343  
 Fax (212) 838-4061

11/30/08  
 3  
 [REMOVED]  
 [REMOVED]

1-CM305-3-0  
 [REMOVED]  
 [REMOVED]

BOUGHT RECEIVED ON LOAN	SELL DELIVERED ON SHORT	TIN	DESCRIPTION	PRICE ON EXHIBIT	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
19 /19	19,060 50,000	50361 54997	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET U.S. TREASURY BILL DUE 03/26/2009 3/26/2009	1 99.926	49,963.00	19,060.00
	2,056	59401	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	2,056.00	
			NEW BALANCE		60,685.92	
			SECURITY POSITIONS			
			AT&T INC	28.560		
			ABBOTT LABORATORIES	52.390		
			AMGEN INC	55.540		
			APPLE INC	92.670		
			BANK OF AMERICA	16.250		
			CHEVRON CORP	79.010		
			CISCO SYSTEMS INC	16.540		
			CITI GROUP INC	8.290		
			COCA COLA CO	46.870		
			COMCAST CORP	17.340		
			CL A			
			CONDOPHILIPS	52.520		
			EXXON MOBIL CORP	80.150		
			GENERAL ELECTRIC CO	17.170		
			CONTINUED ON PAGE	4		

LEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

**IN THIS STATEMENT FOR INCOME TAX PURPOSES**

Affiliated with  
Maddoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

ROBERT T SCHOEN MD  
AND CYNTHIA B FRENCH J/T MRDS

PLATE 4	PERIOD ENDING 11/30/08	YOUR TAX PAYMENT IDENTIFICATION NUMBER [REDACTED]	AMOUNT DEBITTED 1-CM305-3-0
YOUR ACCOUNT NUMBER [REDACTED]		AMOUNT DEDUCTED [REDACTED]	

BOUGHT RECEIVED OR LONG	SELL DELIVERED OR SHORT	ITEM	PRICE
33		GOOGLE	292.960
330		HEWLETT PACKARD CO	35.280
759		INTEL CORP	13.800
		INTERNATIONAL BUSINESS MACHS	81.600
187		J-P. MORGAN CHASE & CO	31.660
495		JOHNSON & JOHNSON	58.580
363		MCDONALDS CORP	58.750
154		MERCK & CO	26.720
286		MICROSOFT CORP	20.220
1,045		ORACLE CORPORATION	16.090
528		PEPSICO INC	56.700
209		PFIZER INC	16.430
891		PHILLIP MORRIS INTERNATIONAL	42.160
275		PROCTER & GAMBLE CO	64.350
396		QUALCOMM INC	33.570
220		SCHLUMBERGER LTD	50.740
165		FIDELITY SPARTAN	1
2,056		U.S. TREASURY MONEY MARKET	26.980
		U.S. BANCORP	57.600
231		UNITED PARCEL SVC INC	99.971
132		CLASS B	
		U.S. TREASURY BILL	
50,000		DU 03/26/2009	
		3/26/2009	
		UNITED TECHNOLOGIES CORP	48.530
		CONTINUED ON PAGE 5	

THE REVENANT FOR HOME TAX PURPOSES

**FOR THIS STATEMENT FOR INCOME TAX PURPOSES**

Affiliated with  
International Limited  
Affiliated with  
dolf Securities International Limited  
12 Berkeley Street  
Mayfair, London W1 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**ARNARD L. MADDOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

ROBERT T SCHOEN ND  
AND CYNTHIA B FRENCH J/T WROS

TOTAL ACCOUNT NUMBER 1-CM305-3-0		AMOUNT CREDITED TO YOUR ACCOUNT
DESCRIPTION		AMOUNT DEBITED TO YOUR ACCOUNT
SOLD BALANCED ONE MONEY	TRIN	32.650
BOUGHT RECEIVED ON LONG	VERIZON COMMUNICATIONS WAL-MART STORES INC	55.880 28.890

WELLS FARGO & CO NEW  
MARKET VALUE OF SECURITIES  
LONG SHORT  
536,682.69

**STATEMENT FOR INCOME TAX PURPOSES**

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RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Affiliated with  
Merrill Lynch International Limited  
Merrill Lynch Securities International Limited  
Merrill Lynch Securities International  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

ROBERT L. MADOFF  
INVESTMENT SECURITIES LLC  
New York  London  
AND CYNTHIA B. FRENCH J/T WROS  
[REDACTED]

PERIOD REPORT  
11/30/08  
PAGE  
6  
YOUR TAX PAYMENT IDENTIFICATION NUMBER  
[REDACTED]  
YOUR ACCOUNT NUMBER  
1-CM305-3-0

BOUGHT RECEIVED ON LONG	SELL DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT
			YEAR-TO-DATE SUMMARY		3,751.92
			DIVIDENDS		3,036.527.47
			GROSS PROCEEDS FROM SALES		

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Associated with  
**Madoff Securities International Limited**  
 12 Berkeley Street  
 Mayfair, London W1, 8DT  
 Tel 020 7491 6222

Fax  
 1

11/30/08  
 YOUR TRADE PAPER IDENTIFICATION NUMBER  
 1-**CM305-4-0**

885 Third Avenue  
 New York, NY 10022  
 (212) 230-2424  
 800 334-1343  
 Fax (212) 938-4061

**BERNARD L. MADOFF**  
 INVESTMENT SECURITIES LLC  
 New York  London  
**MD**  
 ROBERT T. SCHOEN AND CYNTHIA B. FRENCH J/T WROS  
**MD**

DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			29,952.00
				S & P 100 INDEX NOVEMBER 460 CALL	15.800		17,369.00
11/12	11	41787		S & P 100 INDEX NOVEMBER 450 PUT	17.800	19,591.00	
11/19	11	46113		S & P 100 INDEX DECEMBER 430 CALL	26		28,589.00
				S & P 100 INDEX DECEMBER 420 PUT	30		
11/19	11	32218		S & P 100 INDEX NOVEMBER 460 CALL	3		
11/19	11	36543		S & P 100 INDEX NOVEMBER 450 PUT	37		
11/19	11	40868					40,689.00
11/19	11	45193		NEW BALANCE			60,686.00
				SECURITY POSITIONS			
				S & P 100 INDEX DECEMBER 430 CALL	23.300		
				S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			
				18,150.00			25,630.00
				SHORT			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES